

03-23-01

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PATENT APPLICATION
Attorney's Do. No. 8514-57 (STA-28)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

EXPRESS MAIL

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DATE OF DEPOSIT: MARCH 22, 2001

I HEREBY CERTIFY THAT THIS PAPER AND ENCLOSURES AND/OR FEE ARE BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE UNDER 37 CFR 1.10 ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO: BOX PATENT APPLICATION, ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON D.C. 20231.

EHREN RHEA
(SENDER'S PRINTED NAME)

(SIGNATURE)

Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231

Enclosed for filing is a patent application under 37 CFR 1.53(b) of:

Inventors: **PHILIP D. LAPSLEY; JONATHAN ALEXANDER LEE;
DAVID FERRIN PARE, JR. and NED HOFFMAN**

For: **TOKENLESS BIOMETRIC ELECTRONIC FINANCIAL
TRANSACTIONS VIA A THIRD PARTY IDENTICATOR**

This application is a continuation of copending U.S. application Serial No. 09/731,536; filed December 6, 2000, which is a continuation-in-part of co-pending application Serial No. 09/239,570, filed on January 29, 1999, which is a continuation of application Serial No. 08/705,399, filed on August 29, 1996, now U.S. Patent No. 5,870,723, which is a continuation-in-part of U.S. Application Serial No. 08/442,895 filed on May 17, 1995, now US Patent No. 5,613,012, which is a continuation-in-part of U.S. Application Serial No. 08/345,523, filed on November 28, 1994, now U.S. Patent No. 5,615,277, all commonly assigned.

Prior application info: Examiner: J. Hayes Group Art Unit 2761

Enclosures:

- ☒ Specification (pages 1-29); claims (pages 30-35); abstract (page 36)
- ☒ 8 sheets of FORMAL drawings
- ☒ Declaration -- Copy from a prior application (37 CFR 1.63(d))
- ☒ Incorporation by Reference--The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
- ☒ Applicant claims small entity status (see 37 CFR 1.27)
- ☒ Copy of Power of Attorney from parent application Serial No. 09/731,536
- ☒ Copy of recorded Assignment in parent application Serial No. 09/731,536
Assignee Name and Address: Veristar Corporation (a Delaware corp.)
155 Grand Avenue, Suite 1050, Oakland CA 94612
- ☒ Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i) for Nonpublication

CLAIMS AS FILED				
For	Number Filed	Number Extra	Rate	Basic Fee \$355
Total Claims	25-20	5	x \$ 9 =	45
Independent Claims	2-3	0	x \$ 40 =	0
TOTAL FILING FEE				\$400

☒ A check in the amount of \$400 to cover the filing fee is enclosed.

☒ Any deficiency or overpayment should be charged or credited to deposit account number 13-1703. A duplicate copy of this sheet is enclosed.



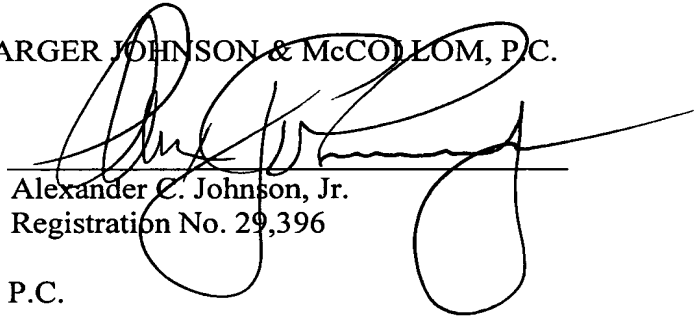
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PATENT TRADEMARK OFFICE

Respectfully submitted,

MARGER JOHNSON & McCOLLOM, P.C.

By


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PATENT APPLICATION
Do. No. 8514-57 (STA-28)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re continuation application of:

PHILIP D. LAPSLEY; JONATHAN ALEXANDER LEE;
DAVID FERRIN PARE, JR. and NED HOFFMAN

For: TOKENLESS BIOMETRIC ELECTRONIC FINANCIAL
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BOX PATENT APPLICATION
Assistant Commissioner for Patents
Washington, D.C. 20231

**REQUEST AND CERTIFICATION UNDER
35 U.S.C. 122(b)(2)(B)(i) FOR NONPUBLICATION**

The invention disclosed in the above-referenced application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing. I hereby request that the attached application not be published under 35 U.S.C. §122(b).



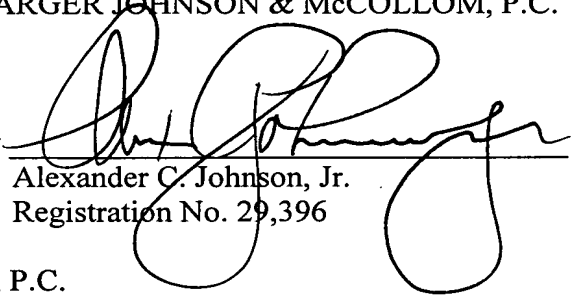
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